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4 Adipose-Derived Stem Cells Improve Collagenase-Induced Tendinopathy in a Rat
5 Model

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24

Abstract

25 **Background:** Tendinopathy is a common and highly prevalent musculoskeletal disorder
26 characterized by repetitive activity-related pain and focal tendon tenderness.
27 Histopathologically, tendinopathic tissue mainly shows degenerative changes **with little**
28 **inflammation.** Therefore, tendinopathy is not affected by anti-inflammatory therapies,
29 and a novel approach, including a stem cell-based therapy, may be beneficial for its
30 treatment. The purpose of this study was to evaluate the effects of adipose-derived stem
31 cells (ASCs) on tendon healing in a rat tendinopathy model.

32 **Hypothesis:** ASC transplantation would improve degeneration in collagenase-induced
33 tendinopathy.

34 **Study Design:** Controlled laboratory study.

35 **Materials and Method:** Sixteen F344/NSlc rats underwent collagenase injection into
36 the Achilles tendon to induce tendinopathy. At 1 week after collagenase injection, eight
37 animals received ASCs (ASC group) and eight animals received phosphate-buffered
38 saline alone (PBS group). Animals were sacrificed at 4 or 12 weeks after ASC
39 administration and the degree of degeneration in each tendon was histologically
40 evaluated according to the Bonar scale. The microstructure of healing tendons was
41 observed by scanning electron microscopy. Reverse transcription (RT)-PCR was
42 performed to measure the ratio of type III collagen mRNA to type I collagen mRNA in
43 tendons.

44 **Results:** The median Bonar scale score in the ASC and PBS groups was 2.5 and 5.33,
45 respectively, at 4 weeks after treatment, and 1.0 and 4.0, respectively, at 12 weeks after

46 treatment. Histologically, the ASC group showed a significantly lower degree of tendon
47 degeneration than the PBS group at both time points. In RT-PCR analysis, the ratio of
48 type III collagen to type I collagen was significantly lower in the ASC group than in the
49 PBS group at 12 weeks after treatment. Moreover, this ratio decreased over time in the
50 ASC group, whereas it increased over time in the PBS group.

51 **Conclusions:** These findings demonstrate that the application of ASCs results in
52 significant improvement in the pathological findings associated with tendinopathy and
53 the normalization of collagen ratios within the affected tendon.

54 **Clinical Relevance:** Subcutaneous adipose tissue can be harvested easily and ASC
55 administration might have the potential to rapidly treat tendinopathy.

56 **Key Terms:** tendinopathy; degeneration; ASCs; collagen ratio.

57 **What is known about the subject:** Bone marrow-derived mesenchymal stem cell
58 implantation might accelerate wound healing in tendon injury.

59 **What this study adds to existing knowledge:** ASC administration can improve
60 degeneration in tendinopathy.

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64 INTRODUCTION

65 Tendinopathy is a common musculoskeletal disorder characterized by repetitive
66 activity-related pain and focal tendon tenderness. It can be disabling and frequently
67 results in lost productivity, reduced physical activity, and early retirement from sports or
68 labor⁶.

69 Many studies have shown that inflammatory change is not a major cause of this
70 disorder. Inflammation occurs in the acute stage of tendinopathy. Although the
71 inflammatory process might affect the development of tendinopathy, histopathologically,
72 tendinopathic tissue eventually shows degenerative changes characterized by disrupted
73 collagen fibers, an increase in cellularity, hypervascularity, and ground substance
74 deposition^{4, 24, 27, 29, 35}. Moreover, Lui et al. showed that the degree of tendon
75 degeneration is related to tendinopathic pain in animal models¹⁶. Denaturation of the
76 collagen matrix is also observed. Tendons consist of large amounts of type I collagen
77 and small amounts of other collagens, such as type III collagen^{23, 32, 35}. In tendinopathic
78 disorders, the ratio of type III to type I collagen increases and this is sustained over the
79 long-term^{17, 35}.

80 Despite its prevalence, tendinopathy is poorly understood and consequently
81 there is little evidence supporting the efficacy of many described remedies.
82 Nonsteroidal anti-inflammatory drugs and corticosteroids have been a mainstay in the
83 clinical treatment of tendinopathy; however, their usefulness remains controversial. As
84 stated above, inflammation is not a main cause of tendinopathy. Thus, these drugs have
85 little effect on degeneration in tendinopathy. Moreover, corticosteroids reportedly

86 inhibit collagen synthesis and decrease the load to failure of tendons¹. Relative rest,
87 strengthening exercises, and surgeries have been advocated as a treatment for decades,
88 but this is not an established treatment owing to a lack of evidence^{1, 12}. Therefore,
89 a **radical** new approach is needed to treat tendinopathy. Some authors have suggested
90 that a decreased healing response leads to structural deterioration in tendons and that
91 this results in chronic tendinopathy^{21, 35}.

92 Mesenchymal stem cells (MSCs) are non-hematopoietic stromal cells that can
93 differentiate into bone, cartilage, muscle, ligament, tendon, and adipose tissue, and
94 contribute to the regeneration of mesenchymal tissues²¹. The ability of MSCs to secrete
95 growth factors was recently identified and these play an essential role in regenerating
96 tissues^{10, 25, 33}. It is that unique characteristic that has led to the exploration of their
97 use and application in the setting of tendon injuries where they may accelerate healing¹.
98 Transplanting MSCs into tendons has shown good results in the treatment of
99 tendinopathy in animal models^{20, 21, 30}. Although MSCs can be isolated from various
100 tissue sources, their procurement from bone marrow is extremely painful for donors and
101 general anesthesia is often required. Moreover, the yield of harvested cells is low.

102 Adipose tissue is an attractive cell source for stem cell therapies. Adipose-
103 derived stem cells (ASCs) are multipotent, and adipose tissue is ubiquitous and easily
104 obtained in large quantities with little donor site morbidity and discomfort. Therefore,
105 the use of autologous ASCs as therapies is feasible and has been shown to be safe and
106 efficacious in preclinical and clinical studies^{18, 36}. Several studies have shown the
107 efficacy of ASC transplantation for tendon disorders³⁰. However, there are few reports

108 that specifically evaluated the effects of ASC transplantation on tendinopathy. The
109 purpose of this study was to evaluate the effects of ASC transplantation on tendon
110 healing in a rat tendinopathy model.

111

112 MATERIALS AND METHODS

113 The following procedures were approved by the animal research ethics
114 committee of Junctendo University School of Medicine (240181).

115

116 Preparation of ASCs

117 Two F344/NSlc rats (12-week-old; SLC Co., Ltd., Shizuoka, Japan) were used
118 as donors. F344/NSlc rats were chosen because they are inbred to the point that they are
119 considered syngeneic. Therefore, transplantation of cells from one rat to another is
120 analogous and limits the risk of graft rejection. ASCs were harvested from the inguinal
121 fat pads of rats as described previously²². In brief, fat pads were excised, finely minced,
122 and enzymatically digested using 0.15% type I collagenase (Wako, Osaka, Japan) at
123 37°C with vigorous agitation. An equal volume of Dulbecco's modified Eagle's medium
124 (DMEM; Gibco-BRL, Grand Island, NY) containing 10% fetal bovine serum (FBS)
125 was added to neutralize the collagenase. The cells were centrifuged at 1500 rpm for 5
126 minutes (CF16RXII; Hitachi, Tokyo, Japan). After cell counting using trypan blue, the
127 cells were seeded at a density of 10⁵ cells per 100 mm² tissue culture plate and
128 maintained in control medium (DMEM containing 10% FBS and 1% antibiotic-
129 antimycotic solution) at 37°C and 5% CO₂. The medium was changed every 3–4 days

130 and cells were passaged using 0.25% trypsin when they reached 80–90% confluence.

131 Cells from passage #3 were used for experiments.

132

133 Generation of a collagenase-induced tendinopathy rat model

134 Sixteen male F344/NSlc rats (12-week-old; weight, 230–250 g) were used in

135 this study. Under general anesthesia with 2% isoflurane (Forane[®]; Abbott Japan, Tokyo,

136 Japan), a small incision was made over each Achilles tendon at 7 mm from the calcaneal

137 insertion after the lower limbs were depilated bilaterally. Under direct vision, 250 units

138 of type I collagenase (Wako, Osaka, Japan) prepared in 25 µL of phosphate-buffered

139 saline (PBS) was injected into the Achilles tendon of bilateral limbs with a 29-gauge

140 needle ¹⁶. The skin was sutured with 5-0 monofilament nylon. Each rat was kept

141 separately and free cage activity was allowed during the study period. This study was

142 performed in line with the ARRIVE animal care guidelines. No obvious side effects

143 appeared during the study period.

144

145 Administration of ASCs

146 The rats were randomly divided into two groups: the ASC group (ASC-treated

147 tendons, n = 16) and the PBS group (PBS-treated tendons, n = 16). Specifically, ASCs

148 were injected into bilateral tendons of eight rats (16 tendons) and PBS was injected into

149 bilateral tendons of eight rats (16 tendons). One week after collagenase injection, a

150 small skin incision was made at the same point in each lower limb under the same

151 anesthesia. Under direct vision, either 50 µL of PBS containing 5×10⁵ ASCs or 50 µL of

152 PBS alone was injected into the collagenase-induced lesion with a 29-gauge needle. The
153 concentration of ASCs was decided referring to the past study^{5,30}. Both studies used
154 1×10^7 /ml concentration of cells to treat tendon injury, and showed the significant
155 recovery in the cell treated group. The skin was sutured as previously described. At 4 or
156 12 weeks after treatment, rats were sacrificed with an overdose of CO₂ and Achilles
157 tendons were harvested for evaluation (n = 8 tendons per time point per group). Each
158 tendon was cut to two samples for histological analysis, and RNA isolation.

159

160 Hematoxylin and eosin (H&E) and Alcian blue staining

161 Slides of rat Achilles tendons were prepared as described previously¹¹. In brief,
162 the Achilles tendon was washed in PBS, frozen in cooled hexane, and freeze-embedded
163 with 4–5% carboxymethyl cellulose in the coolant. After a specially prepared adhesive
164 film was fastened to the cut surface, the sample was cut longitudinally into 5 µm thick
165 sections. The sections were stained with H&E and Alcian blue, and then mounted onto
166 3-amino-coated slides. The specimens were examined by standard light microscopy
167 (BIOREVO BZ-9000; KEYENCE, Osaka, Japan) and photomicrographs were obtained
168 at 200× magnification.

169

170 Semi-quantitative Bonar histopathological scale analysis

171 Longitudinal sections of Achilles tendons were histopathologically analyzed
172 using the semi-quantitative Bonar histopathological scale as previously described⁷. In
173 brief, this scale consists of four features, each of which is graded as 0, 1, 2, or 3: (1)

174 tenocyte (spindle cell) morphology and proliferation, (2) the presence or absence of
175 ground substance, (3) collagen bundle characteristics, and (4) vascularity ⁵. The
176 | histological slides from each sample were randomly selected and evaluated in a blinded
177 fashion by two independent pathologists. The average score was used for the analysis.

178

179 Scanning electron microscopy (SEM)

180 Excised tissue was fixed in 2.0% glutaraldehyde for 24 hours and post-fixed in
181 2% osmium tetroxide for 2 hours. Subsequently, samples were dehydrated through a
182 graded ethanol series. Samples were cut into longitudinal sections for observation by
183 SEM and then further fixed in 2.0% glutaraldehyde. Finally, specimens were dehydrated
184 through a graded ethanol series and underwent critical point drying with liquid CO₂.
185 The dried specimens were mounted onto metal stubs and observed under a scanning
186 electron microscope (S-4800; Hitachi, Tokyo, Japan). The diameter, density and
187 condition of collagen fibers were observed at 5000× magnification (not quantitated).

188

189 Immunohistochemistry

190 Immunohistochemical analysis was performed to assess the formation of type I
191 and type III collagen proteins in tissue sections. In brief, sections were blocked in 3%
192 H₂O₂ prepared in 95% ethanol for 10 minutes and in 10% goat serum for 30 minutes at
193 room temperature. After rinsing in PBS, sections were incubated with primary
194 polyclonal rabbit antibodies against rat type I or type III collagen (AbD Serotec,
195 | Kidlington, UK; P02454 and P13941, respectively; and 1:10 and 1:250, respectively)

196 for 30 minutes at 4°C and then with secondary antibodies (1:100) for 3 minutes at room
197 temperature. After rinsing in PBS three times for 5 minutes, samples were developed in
198 diaminobenzidine solution for 10 minutes. After rinsing in distilled water, samples were
199 counterstained with Harris hematoxylin solution for 5 minutes, washed under running
200 tap water for 5 minutes, and mounted onto 3-amino-coated slides. The glass slides were
201 examined by standard light microscopy (BIOREVO BZ-9000; KEYENCE, Osaka,
202 Japan) and photomicrographs were obtained at 200× magnification.

203

204 Reverse transcription (RT)-PCR

205 The ratio of type III collagen mRNA to type I collagen mRNA was determined.
206 Total RNA was isolated from tendon tissues using a High Pure RNA Tissue Kit (Roche,
207 Mannheim, Germany). cDNA was synthesized using a First-Strand cDNA Synthesis Kit
208 (Applied Biosystems, Warrington, UK) according to the manufacturer's protocol. Real-
209 time RT-PCR was performed using a 7500 HT Fast Real-Time PCR system (Applied
210 Biosystems, Warrington, UK).

211

212 Statistical analysis

213 Significant differences among groups were evaluated at each time point using
214 the Mann-Whitney U-test for Bonar scale data and Student's t-test for relative mRNA
215 expression data. Data are expressed as mean ± standard deviation. A value of $p < 0.05$
216 was regarded as statistically significant.

217

218 RESULTS

219 H&E and Alcian blue staining

220 In H&E-stained samples, the ASC group showed a lower level of degenerative
221 changes in tendons than the PBS group at 4 and 12 weeks after treatment. The ASC
222 group exhibited decreased levels of disrupted collagen fibers, cellularity, and
223 hypervascularity (Figure 1A–D). In Alcian blue-stained samples, the PBS group
224 exhibited more ground substance deposition between collagen fibers than the ASC
225 group at 4 and 12 weeks after treatment (Figure 1E–H).

226

227 Semi-quantitative Bonar histopathological scale analysis

228 The median Bonar scale score in the ASC and PBS groups was 2.5 and 5.33,
229 respectively, at 4 weeks after treatment, and 1 and 4, respectively, at 12 weeks after
230 treatment. The ASC group exhibited a **significantly** lower degree of tendon degeneration
231 than the PBS group at both time points.

232

233 SEM

234 In both collagenase-treated groups, fibers had a shorter diameter than intact
235 tendon fibers. The density of collagen fibers was higher in the ASC group than in the
236 PBS group at both time points. Collagen fibers in the PBS group were randomly
237 orientated and wavelike at both time points (Figure 2A–E).

238

239 Immunohistochemistry

240 In immunohistochemical analyses, the area positively stained for type I collagen
241 increased over time in the ASC group. In the PBS group, there were no apparent
242 changes in this staining between 4 and 12 weeks after treatment (Figure 3A–D). In
243 immunohistochemical analyses, the area positively stained for type III collagen
244 decreased over time in the ASC group. In the PBS group, there were no apparent
245 changes in this staining between 4 and 12 weeks after treatment (Figure 3E–H).

246

247 RT-PCR

248 The ratio of type III collagen to type I collagen decreased over time in the ASC
249 group (from 0.169 to 0.116), whereas it increased over time in the PBS group (from
250 0.099 to 0.224). At 12 weeks after treatment, the ratio of type III collagen to type I
251 collagen was significantly lower in the ASC group than in the PBS group ($p < 0.05$)
252 (Figure 4).

253

254 DISCUSSION

255 This study showed that the ASC administration improved pathological
256 characteristics of collagenase-induced tendinopathy in both the acute (4 weeks) and
257 chronic (12 weeks) stages compared to continued degeneration throughout both stages
258 in a control model.

259 Several basic research studies reported that MSC administration accelerates the
260 healing of tendon injuries and improves the degeneration of tendons^{20, 23, 30, 34}. In this
261 study, tendons in the ASC group had a structure that was histologically analogous to that

262 of normal tendons. ASC administration decreased the level of degeneration at 4 and 12
263 weeks after treatment. Specifically, ASC administration inhibited the disruption of
264 collagen fibers and the increase in cellularity from the acute stage. Moreover,
265 chondrocyte-like cells, calcific deposits, and ground substances were not observed in
266 ASC-treated tendons up to 12 weeks after treatment. These findings suggest that ASC
267 administration induced processes to heal degeneration in tendinopathy during the acute
268 stage.

269 A collagenase-induced tendinopathy model shows inflammation and micro-
270 injury in tendons during the acute stage, and the ratio of type I collagen in tendons
271 decreases in this stage^{4, 5, 29}. It is generally accepted that the level of type III collagen
272 increases and scar tissue forms during the acute stage of wound healing. Thereafter, type
273 III collagen is replaced by type I collagen over time^{3, 4, 9, 26}. The same process occurs in
274 injured tendons. An increased level of type III collagen is necessary during the acute
275 stage of wound healing. However, type III collagen is mechanically weaker than type I
276 collagen. Therefore, rapid ~~conversion~~ replacement of type III collagen into type I
277 collagen is necessary for tendon repair^{15, 32, 35}.

278 In this study, ASC administration rapidly increased the ratio of type III collagen
279 to type I collagen, after which it decreased. By contrast, this ratio increased slowly over
280 the entire observation period in the PBS group. These findings indicate that ASC
281 administration accelerated wound healing from the acute stage and normalized the
282 tendon structure in tendinopathy at the chronic stage. Meanwhile, in the PBS group, the

283 ratio of type III collagen to type I collagen increased and the process of wound healing
284 continued throughout the entire observation period.

285 Several studies have suggested the “negative cycle” concept in tendon wound
286 healing, which may cause prolonged symptoms of tendinopathy^{2, 17, 35}. In other words,
287 the abnormal collagen ratio (i.e., a high ratio of type III collagen to type I collagen) is
288 maintained in tendons upon repetitive or over injury, when tenocytes cannot rapidly
289 repair the injury. A sustained abnormal collagen ratio decreases tendon strength, which
290 can cause mechanical micro-injuries and degenerative changes in tendons. This inhibits
291 replacement of type III collagen by type I collagen and maintains the abnormal collagen
292 ratio. Kraus et al. showed that tenocytes and ASCs have an increased proliferation rate
293 and gene expression when they are co-cultured *in vitro*¹⁴. Therefore, ASC
294 administration might stimulate tenocytes and reinforce their functions, leading to the
295 accelerated formation of scar tissue and conversion of this tissue into normal tissue at
296 the acute stage. ASC administration accelerated extracellular matrix turnover, in
297 particular normalization of the collagen ratio and tendon structures from the acute stage,
298 which might increase tendon strength. Early recovery of tendon strength would inhibit
299 mechanical micro-damage and further degenerative changes in tendons, leading to
300 reduced scar tissue. This positive cycle ~~would~~ could rapidly heal degeneration in
301 tendinopathy.

302 Valencia et al.¹ and Chen et al.² reported that MSC transplantation alone did not
303 repair tendon injury^{5, 31}. These conclusions are ~~in~~ contrast to our results. However, the
304 cell transplantation conditions differ between the studies, especially with regard to the

305 timing of cell administration. In our study, ASCs were administered 1 week after
306 collagenase injection, in contrast to 4 weeks in these previous studies. The difference in
307 the timing of transplantation might result in different conclusions. Therefore, additional
308 studies are needed to determine whether there are different responses if the treatment is
309 delivered at different time points.

310 A limitation of this study is the lack of information on the fate of the
311 transplanted cells. Whether transplanted stem cells differentiate into tenocytes is not
312 clearly understood⁸. Although one study showed the long-term survival of stem cells
313 transplanted into tendons³⁰, several studies reported that transplanted labeled cells
314 could not be detected a few weeks after transplantation^{13, 19, 28}. Several studies have
315 shown that growth factors, such as hepatocyte growth factor, insulin-like growth factor-
316 1, transforming growth factor-β1, and vascular endothelial growth factor, play an
317 important role in tendon healing^{32, 34}. Recent studies reported that several growth
318 factors secreted by MSCs are required for effective wound healing^{13, 25, 28}. In this
319 respect, the paracrine effects of ASCs might be important for the improvement of
320 tendinopathy in this study, although further studies are needed to trace the fate of
321 transplanted cells and to understand the mechanism by which ASC treatment improves
322 tendinopathy.

323 In conclusion, ASC administration improved degeneration in tendinopathy and
324 rapidly normalized the collagen ratio in tendon tissue.

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441 FIGURE LEGENDS

442

443 Figure 1.

444 Representative histology images of longitudinal tendon sections. (A) Hematoxylin and
445 eosin (H&E) staining of the adipose-derived stem cell (ASC) group at 4 weeks after
446 treatment. (B) H&E staining of the ASC group at 12 weeks after treatment. (C) H&E
447 staining of the phosphate-buffered saline (PBS) group at 4 weeks after treatment. (D)
448 H&E staining of the PBS group at 12 weeks after treatment. There was a lower level of
449 degenerative changes in tendons in the ASC group than in the PBS group at 4 and 12
450 weeks after treatment. The ASC group exhibited decreased levels of disrupted collagen
451 fibers, cellularity, and hypervascularity. (E) Alcian blue staining of the ASC group at 4
452 weeks after treatment. (F) Alcian blue staining of the ASC group at 12 weeks after
453 treatment. (G) Alcian blue staining of the PBS group at 4 weeks after treatment. (H)
454 Alcian blue staining of the PBS group at 12 weeks after treatment. The PBS group
455 exhibited a large amount of ground substance deposition between collagen fibers at 4
456 and 12 weeks after treatment. H&E staining mainly showed tenocyte (spindle cell)
457 morphology and proliferation, collagen bundle characteristics, and vascularity. Alcian
458 blue staining showed the presence or absence of the ground substance. Magnification
459 200×; bar = 50 μm; arrow, vascular structure; arrowhead, chondrocyte-like cell.

460

461 Figure 2.

462 Representative scanning electron microscopy images of longitudinal tendon sections.
463 (A) Normal tendon for comparison. (B) Tendon in the adipose-derived stem cell (ASC)
464 group at 4 weeks after treatment. (C) Tendon in the ASC group at 12 weeks after
465 treatment. (D) Tendon in the phosphate-buffered saline (PBS) group at 4 weeks after
466 treatment. (E) Tendon in the PBS group at 12 weeks after treatment. The density of
467 collagen fibers was higher in the ASC group than in the PBS group at both time points.
468 Collagen fibers in the PBS group were randomly orientated and wavelike at both time
469 points. Magnification, 5000 \times .

470

471 Figure 3.

472 Representative immunohistochemistry images of longitudinal tendon sections. (A) Type
473 I collagen staining of the adipose-derived stem cell (ASC) group at 4 weeks after
474 treatment. (B) Type I collagen staining of the ASC group at 12 weeks after treatment.
475 (C) Type I collagen staining of the phosphate-buffered saline (PBS) group at 4 weeks
476 after treatment. (D) Type I collagen staining of the PBS group at 12 weeks after
477 treatment. The area positively stained for type I collagen increased over time in the ASC
478 group. In the PBS group, there were no apparent changes in this staining between 4 and
479 12 weeks after treatment. (E) Type III collagen staining of the ASC group at 4 weeks
480 after treatment. (F) Type III collagen staining of the ASC group at 12 weeks after
481 treatment. (G) Type III collagen staining of the PBS group at 4 weeks after treatment.
482 (H) Type III collagen staining of the PBS group at 12 weeks after treatment. In the ASC
483 group, the area positively stained for type III collagen decreased over time. In the PBS

484 group, there were no apparent changes in this staining between 4 and 12 weeks after
485 treatment. Magnification 200 \times ; bar = 50 μ m; CR, calcific deposits.

486

487 **Figure 4.**

488 Real-time RT-PCR analysis of the ratio of type III collagen mRNA to type I collagen
489 mRNA. Dots represent the mean for each group at 4 and 12 weeks after treatment. Error
490 bars represent \pm one standard deviation. * indicates $p < 0.05$ according to the Student's
491 t-test.







