### 1 Leukocyte concentration and composition in platelet-rich plasma (PRP) influences the

### 2 growth factor and protease concentrations

3

### 4 Abstract

### 5 Background:

Platelet-rich plasma (PRP) therapy has become an increasingly popular treatment for 6 orthopaedics and sports-related injuries, and various clinically available PRP preparation 7 methods exist. However, the differences in PRP quality among numerous preparation methods 8 remain unclear. Specifically, the benefit of including leukocytes in the PRP product remains 9 controversial, and few studies have been conducted to evaluate the effects of the interaction 10 11 between platelets and leukocytes on the growth factor concentrations. The aim of the present study was to compare the biological characteristics of PRPs focusing on the leukocyte 12 concentration and composition. 13

### 14 Methods:

Leucocyte rich (LR)-PRP, leucocyte poor (LP)-PRP, and pure-PRP were prepared from the
peripheral blood of 6 healthy male volunteers (mean age: 31.3 years). The concentrations of
platelets, leukocytes, erythrocytes, growth factors (transforming growth factor-beta 1: TGF-β1;
fibroblast growth factor-basic: FGF-b; platelet-derived growth factor-BB: PDGF-BB; vascular
endothelial growth factor: VEGF) and matrix metalloproteinase-9 (MMP-9) from each of the
PRP samples were measured. Considering the interaction between platelets and leukocytes,

correlations between platelets/leukocytes and growth factors/MMP-9 were analyzed using partial
 correlation coefficients.

### 23 **Results:**

The platelet concentration did not differ among the three PRP preparation methods. Conversely,
the leukocyte concentration was dramatically different: 14.9±4.5 (10<sup>3</sup>/µl) in LR-PRP, 2.4±1.3
(10<sup>3</sup>/µl) in LP-PRP, 0.2±0.2 (10<sup>3</sup>/µl) in pure-PRP. The platelet concentration positively
correlated with all growth factors. On the other hand, the leukocyte concentration positively
correlated with PDGF-BB and the VEGF concentration, while it negatively correlated with FGFb. Regarding catabolic factors, the MMP-9 concentration strongly correlated with the leukocyte
concentration, while there was no correlation between the platelet and MMP-9 concentrations.

### 31 **Conclusions:**

These findings demonstrate that leukocytes strongly influence the quality of PRPs. Therefore, modifying the PRP preparation method according to the pathology is essential to achieve better clinical results with PRP therapy.

### 36 Introduction

Platelet-rich plasma (PRP) is an autologous platelet concentrate that contains diverse growth 37 factors, such as platelet-derived growth factor (PDGF), transforming growth factor-beta (TGF-β), 38 vascular endothelial growth factor (VEGF), epidermal growth factor (EGF), fibroblast growth 39 factor (FGF), insulin-like growth factor 1 (IGF-1), as well as other cytokines that possess not 40 only anabolic effects, but also catabolic effects on the tissue healing process[1, 2]. PRP therapy 41 is promising as a simple, safe (because of its autologous origin), low-cost, and minimally 42 invasive technique that can promote tissue repair processes[2, 3]. PRP therapy was initially 43 introduced in maxillofacial and plastic surgery in the 1990s[4] and subsequently in many other 44 fields[5-7]. Recently, PRP therapy has been used as one of the therapeutic applications for 45 orthopaedics and sports-related injuries[2, 8, 9]. 46

Despite an increasing number of clinical studies, some studies have shown less favorable 47 results[10, 11]. Numerous PRP preparation methods make comparisons between the studies 48 difficult and interfere with the ability to explain conflicting biologic results[12]. Some 49 classification systems based on the presence or absence of leukocytes, activation status and 50 platelet concentration have been proposed[13, 14, 9], however, the differences in PRP quality 51 among these numerous preparation methods remain unclear. Specifically, the benefit of 52 including leukocytes in the PRP product remains controversial [15, 16], and few studies have thus 53 far been conducted to evaluate the effects of the interaction between platelets and leukocytes on 54 the growth factor concentrations. 55

Therefore, the aim of the present study was to analyze the influence of leukocytes on the levels of various growth factor and protease concentrations by the comparison of three different PRP preparation methods focusing on the leukocyte concentration and composition.

### 60 Methods

All procedures in this study were approved by the Institutional Review Board of JuntendoUniversity. Prior to drawing peripheral blood, informed consent was obtained from each donor.

63

### 64 Blood collection and PRP preparation

The peripheral blood was collected from six healthy male volunteers (mean age: 31.3 years, 65 range: 27-39 years). A single technician collected 22 ml of venous blood from each participant 66 using a 21-gauge needle. Immediately following collection, the blood was divided and 10 ml of 67 each sample were aliquoted for the three different preparation methods (two different 68 69 anticoagulated tubes). The remaining 2 ml of blood was collected in an EDTA-coated tube for a whole blood analysis. The three different PRP preparation methods were performed in order to 70 compare the growth factor and protease concentrations in different leukocyte concentrations. The 71 72 preparation methods are briefly described as follows: a double-spin method was used to obtain both high amounts of platelets and leukocytes (LR-PRP), a single-spin method was used to 73 obtain a high number of platelets and lower number of leukocytes (LP-PRP), and a single-spin 74 method was used to obtain platelet concentrates with a minimum number of leukocytes (pure-75 PRP) (Table 1); the detailed methods of these three PRP preparation methods are described 76 below. 77

78

Preparation of LR-PRP: Approximately 10 ml of blood were collected in a 15 ml tube (BD Biosciences, Bedford, MA, USA) containing 1 ml of 3.8% sodium citrate as an anticoagulant.
After an initial centrifugation step of <u>400g</u> for 10 minutes at room temperature, the upper layer and buffy-coat were transferred to another tube. After a second centrifugation step of <u>2,000g</u> for

3 minutes at room temperature, the supernatant (platelet-poor plasma, PPP) was collected and
used as a control. The platelet pellet was resuspended in the remaining 1 ml of plasma volume to
produce LR-PRP.

86

Preparation of LP-PRP and pure-PRP: Approximately 10 ml of blood were collected in a 15 ml tube (BD Biosciences, Bedford, MA, USA) containing 1 ml of 3.8% sodium citrate as an anticoagulant. The anticoagulated blood was carefully transferred to a new 15 ml tube containing 2.5 ml of lymphocyte separation medium (LSM, MP Biomedicals, Santa Ana, CA, USA). After a centrifugation step of <u>800g</u> for 10 minutes at room temperature, the supernatant was removed and discarded. Of the remaining 1 ml of plasma just above the buffy-coat layer, the upper half (500 µl) was isolated as pure-PRP and the lower half (500 µl) was collected as LP-PRP.

94

### 95 Hematological analysis

The platelet, leukocyte, and erythrocyte concentrations and leukocyte compositions from the whole blood and from each of the PRP and PPP samples were determined using an automated hematology analyzer (Ac-T diff, Beckman Coulter, Brea, CA, USA) immediately after preparation. After this analysis, all samples were stored at -80°C until further analysis.

100

### 101 Quantification of the growth factors and protease

The concentrations of the growth factors and protease (TGF-β1, VEGF, PDGF-BB, FGF-b, and
 MMP-9) from each of the PRP and PPP samples were measured. <u>A single freeze-thaw cycle was</u>
 <u>used to induce platelet activation and the release of growth factors and cytokine. Wasterlain et al.</u>
 <u>proposed this method as one of the PRP activation procedures especially for *in vitro* laboratory
</u>

experiments[16]. The concentrations of PDGF-BB before and after freeze-thaw process were 106 measured to confirm whether this procedure accurately activates PRP (Supplemental figure 1). 107 The samples were thawed and then incubated for 1 h at 37°C. After incubation, the samples were 108 centrifuged for 5 minutes at 13,000g at room temperature, and the supernatants were tested. 109 TGF-B1 and MMP-9 quantification assays were performed using an enzyme-linked 110 immunosorbent assay kit (R&D Systems, Minneapolis, MN, USA). FGF-b, PDGF-BB, and 111 112 VEGF concentrations were determined using a Bio-Rad Multiplex analysis kit (Bio-Plex, Bio-Rad, Hercules, CA, USA). All procedures were carried out according to the manufacturer's 113 instructions. For the assay of the inhibition of platelet-neutrophil interaction, one of the activated 114 LR-PRP samples was incubated in the absence or presence of anti-human P-selectin antibody 115 (BD Biosciences, Bedford, MA, USA) 20µg/ml for 20 minutes at room temperature. TGF-β1 116 concentrations were measured by ELISA assay. 117

118

### 119 Statistical analysis

All data are presented as the mean  $\pm$  SD. Comparisons of all pairs in each group were assessed 120 using a one-way nonparametric analysis of variance (ANOVA) followed by the Tukey post-hoc 121 test. Considering the interaction between platelets and leukocytes, linear correlations between the 122 platelet and the growth factor/protease concentrations, as well as between the leukocyte and the 123 growth factor/protease concentrations, were analyzed by partial correlation coefficients adjusted 124 for leukocytes and platelets respectively. All p-values were two-sided and p-values of less than 125 0.05 were considered to be statistically significant. Statistical analyses were performed using the 126 SPSS Statistics version 20.0 software package (IBM Company, Chicago, IL, USA). 127

### 129 **Results**

130 First, we confirmed the cell types contained in the PRPs prepared by the three different

- 131 methods. The complete blood counts (CBCs) of the whole blood, LR-PRP, LP-PRP, pure-PRP,
- and PPP are summarized in **Table 2**.

### 133 Platelet concentration

134 The platelet counts in the LR-PRP and LP-PRP were nearly the same (approximately <u>4-fold</u>

whole blood), while those in pure-PRP resulted in relatively lower platelet concentrations (2.2-

136 <u>fold</u> whole blood). However, there were no significant differences with regard to the platelet

137 concentrations among the three preparation methods (Fig. 1A).

### 138 Leukocyte concentration

The LR-PRP contained the highest number of leukocytes (approximately <u>2.7-fold</u> whole blood).

140 The LP-PRP contained fewer leukocytes (approximately <u>0.5-fold</u> whole blood), whereas the

141 pure-PRP contained very few leukocytes, nearly the same amount as the PPP. There were

significant differences in the concentration of leukocytes among the three preparation methods

143 compared with one another (p < 0.01) (**Fig. 1B**).

### 144 Leukocyte composition

145 The composition of the leukocytes was predominantly neutrophils in the peripheral blood,

146 whereas lymphocytes were predominant in the LP-PRP and LR-PRP (Fig. 2). According to the

147 results of the concentration and composition of the leukocytes, the LR-PRP abundantly

148 contained all leukocyte cell types, whereas the LP-PRP contained a small quantity of

149 lymphocytes and a few neutrophils (**Figs. 1, 2**).

### 151 Erythrocyte concentration

The LR-PRP contained approximately <u>0.7-fold</u> whole blood of erythrocytes, whereas the LP-PRP and pure-PRP contained very few erythrocytes, nearly the same as the PPP (**Fig. 1C**).

### 155 Quantification of the growth factors and protease in three different PRP preparations

156 The concentrations of both PDGF-BB and VEGF were the highest in the LR-PRP, followed by

the LP-PRP, pure-PRP, and PPP (Fig. 3). The concentration of PDGF-BB was significantly

different between the LR-PRP and pure-PRP and between the LP-PRP and pure-PRP (p=0.015

and p=0.045, respectively). The concentration of VEGF was significantly different between the

160 LP-PRP and pure-PRP (p=0.036). The TGF- $\beta$ 1 concentration was the highest in the LP-PRP, and

there was a significant difference between the LP-PRP and pure-PRP (p=0.040). The FGF-b

162 concentration was not significantly different among the three preparation methods. Finally, we

evaluated one of the major catabolic protease, MMP-9. The concentration of MMP-9 was

significantly higher in the LR-PRP than the LP-PRP (p=0.031), pure-PRP (p=0.024), and PPP

165 (p=0.026) (**Fig. 3**).

166

# 167 Correlations between growth factor/protease concentrations and platelet/leukocyte 168 concentrations

169 The distributions of the platelet/leukocyte concentrations and growth factor/protease

170 concentrations are shown in **Fig. 4A and B**. The platelet concentration positively correlated with

171 all growth factors (TGF-β1: r=0.58, p=0.004; FGF-b: r=0.90, p<0.001; PDGF-BB: r=0.87,

p<0.001; VEGF: r=0.62, p<0.001). On the other hand, the leukocyte concentration positively

correlated with the PDGF-BB and VEGF concentrations (PDGF-BB: r=0.56, p=0.006; VEGF: r=0.65, p=0.001), while it negatively correlated with the FGF-b concentration (r=-0.44, p=0.036) (**Table 3**). With regard to the TGF- $\beta$ 1 concentration, there tended to be a negative correlation between the leukocyte and TGF- $\beta$ 1 concentrations (r=-0.20, p=0.357) (**Table 3**). Regarding catabolic factors, the MMP-9 concentration strongly correlated with the leukocyte concentration (r=0.81, p<0.001), while there was no correlation between the platelet and MMP-9 concentrations (r=0.33, p=0.125) (**Table 3**).

180

### **Inhibition of platelet-neutrophil interaction increases the level of TGF-**β1 **concentration**

- 182 <u>To confirm if the inhibition of platelet-neutrophil interaction could alter the concentration of</u>
- 183 <u>TGF-β1</u>, we cultured LR-PRP in the presence of anti-human P-selectin antibody which inhibits
- the platelet-neutrophil adhesion. We found that the TGF-β1 concentration was significantly
- increased by inhibiting platelet-neutrophil interaction in LR-PRP (p<0.001) (**Fig. 5**).

186

### 188 **Discussion**

PRP therapy has become an increasingly popular treatment and various clinically available PRP 189 preparation methods exist. However, to the best of our knowledge, this is the first report 190 comparing the quality of LR-PRP, LP-PRP and pure-PRP in the same concentration of platelet. 191 We revealed that the growth factor concentrations to be dependent on the leukocyte 192 concentrations, and a catabolic protease (MMP-9) was expressed at a considerably high 193 194 concentration in the LR-PRP. Our data demonstrated that the PRP contents are quite different from one another; therefore, the efficacy of PRP therapy must be discussed for each PRP 195 preparation method. 196

197 Most of the controversy regarding PRP therapy is based on the absence of a clear definition of PRP therapy[17]. There are numerous PRP preparation methods, however, the differences in PRP 198 quality among them remain unclear. Recently, some classification systems according to the 199 presence or absence of leukocytes, activation status and platelet concentration have been 200 proposed[13, 14, 9]. Dohan et al. proposed a classification system dividing many products into 4 201 main families according to their fibrin architecture and the presence of leukocytes[14]. Mishra et 202 al. proposed a classification system according to the presence or absence of leukocytes, 203 activation status and platelet concentration[9]. DeLong et al. proposed the PAW classification 204 system according to the platelet quantity, activation mode of the platelets and the presence of 205 leukocytes (in particular neutrophils)[13]; the PAW classification system is the most subdivided 206 classification system regarding the leukocyte concentration and cell types. Notably, although the 207 LP-PRP and pure-PRP can be categorized in the same group in PAW classification, the 208 concentrations of growth factors are significantly different between the LP-PRP and pure-PRP 209 (Fig. 3). Therefore, we should be aware that the PRP preparation methods are quite different, and 210

physicians should perform quality estimations (e.g., cell counts of the PRP) for each occurrence
of PRP therapy in order to determine the efficacy of PRP therapy.

In the present study, the platelet concentrations positively correlated with the PDGF-BB, VEGF, 213 TGF-β1 and FGF-b concentrations and showed no negative correlations with any growth factor. 214 On the other hand, the leukocyte concentrations showed positive correlations with the PDGF-BB 215 and VEGF concentrations, while it negatively correlated with the FGF-b concentration and had 216 the tendency of a negative correlation with the TGF- $\beta$ 1 concentration. Regarding catabolic 217 factors, the MMP-9 concentration strongly correlated with the leukocyte concentration, while 218 there was no correlation between the platelet and MMP-9 concentrations (Table 3). These results 219 220 indicated that the leukocyte concentrations have a strong influence on the growth factor and protease concentrations, and they differed depending on the type of growth factor or protease. 221 Wasterlain et al. reported the association between the cell type and growth factor in the PRP[16]. 222 PDGF and VEGF are derived from both platelets and leukocytes. MMP-9 is primarily derived 223 from neutrophils, while TGF-β is primarily derived from platelets. <u>Therefore, our results from</u> 224 the correlation analysis could be partly explained by cell-cell interactions. From this viewpoint, 225 we checked if the inhibition of platelet-neutrophil interaction could alter the concentration of 226 TGF-\beta1, and found that the TGF-\beta1 concentration was significantly increased by inhibiting 227 platelet-neutrophil interaction in LR-PRP (Fig. 5). This is a similar observation reported in the 228 field of blood transfusion that neutrophils had downregulatory effects on the platelet activity[18-229 20]. 230

In clinically, the effects of leukocytes on PRP therapy[15, 16] remain debatable. Some investigators have reported a beneficial effect of leukocytes on increased antibacterial and immunological resistance[21]. Additionally, leukocytes have been reported to correlate with

increased growth factor and cytokine release[21]. Other investigators have recommended 234 avoiding tissue exposure to leukocytes as an inflammatory reaction may occur[22, 23]. 235 Sundman et al. and Browning et al. demonstrated that high levels of catabolic mediators, such as 236 MMPs, were observed in the LR-PRP compared with the LP-PRP or PPP[24, 25]. Indeed, our 237 data showed considerably high levels of MMP-9 in the LR-PRP which contained high 238 concentrations of leukocytes (Fig. 3E). Therefore, we should note that the LR-PRP possesses 239 240 both anabolic and catabolic effects that can lead to the degradation of tendon, ligament, muscle, and chondrocytes, which are frequently involved in the motor organ diseases, and chose an 241 appropriate PRP preparation method according to the pathology or the purpose of the therapy. 242

243 There are several limitations associated with this study. First, the sample size was small. This may have affected the results, although our findings were consistent with other PRP-related 244 studies[21, 12, 25]. Second, we only performed a quantitative assessment of the PRP contents in 245 this study. We did not demonstrate whether the differences in the PRP contents would lead to 246 differences in the biological effects in *in vitro* or *in vivo* systems. Third, although we evaluated 247 the MMP-9 concentration as a catabolic factor, we did not evaluate the concentrations of any 248 other inflammatory cytokines such as IL-1 $\beta$ , IL-6, or TNF- $\alpha$ . Fourth, we used a freeze-thaw 249 cycle as the PRP activation method instead of using calcium chloride or thrombin. This method 250 would not reflect the physiological release kinetics of growth factors, however, it would be 251 useful for in vitro laboratory experiments because it does not use a chemical mechanism of 252 platelet activation. 253

In conclusion, the quality of PRP varies according to the preparation method used. Our data demonstrated that the leukocyte concentration and composition strongly influence the quality of PRPs. Therefore, modifying the PRP preparation method according to the pathology or the purpose of the therapy is essential to achieve better clinical results with PRP therapy. Furtherinvestigations are necessary to optimize PRP therapy for the treatment of sports-related injuries.

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#### 332 Figure Legends

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334 Fig. 1
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(A) Platelet concentrations, (B) leukocyte concentrations, and (C) erythrocyte concentrations of

LR-PRP, LP-PRP, pure-PRP and PPP. Data are shown as the ratio of the concentration of each PRP or PPP to the concentration of whole blood. Data are presented as mean  $\pm$  SD (\*p<0.05, \*\*p<0.01).

339

340 Fig. 2

Leukocyte composition in whole blood, LR-PRP and LP-PRP. (A) neutrophil concentrations, (B) lymphocyte concentrations, and (C) monocyte concentrations. Composition in pure-PRP and PPP could not be measured because of too small leukocyte counts.

344

345 Fig. 3

Growth factor and catabolic cytokine concentrations in LR-PRP, LP-PRP, pure-PRP and PPP. (A) Transforming growth factor-beta 1 (TGF- $\beta$ 1), (B) fibroblast growth factor-b (FGF-b), (C) platelet-derived growth factor-BB (PDGF-BB), (D) vascular endothelial growth factor (VEGF), (E) matrix metalloproteinase-9 (MMP-9). Data are presented as the mean ± SD (\*p<0.05, \*\*p<0.01).

351

352 Fig. 4

353 (A) Distribution of the platelet concentration and TGF- $\beta$ 1 concentration, FGF-b concentration,

PDGF-BB concentration, VEGF concentration, and MMP-9 concentration.

- 355 (B) Distribution of the leukocyte concentration and TGF-β1 concentration, FGF-b concentration,
- 356 PDGF-BB concentration, VEGF concentration, and MMP-9 concentration.
- 357
- 358 <u>Fig.5</u>
- 359 One of the activated LR-PRP samples was incubated in the absence or presence of 20µg/ml of
- 360 <u>anti-human P-selectin antibody for 20 minutes at room temperature. TGF-β1 concentrations were</u>
- 361 measured by ELISA assay. Data are presented as the mean  $\pm$  SD performed in triplicate.
- 362 <u>Unpaired t test was used to calculate the p values (\*p < 0.05, \*\*p < 0.01).</u>
- 363
- 364
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- 366







## Fig. 4**A**



## Fig. 4**B**





TGF- $\beta$  1

## 1 <u>Tables</u>

- 3 Table 1

## **Protocol for each preparation method used in this study**

Method	Blood volume (ml)	Anticoagulant	No. of centrifuge times	Centrifuge force and time	Final PRP volume (ml)
LR-PRP	10	3.8% sodium citrate, 1 ml	2	1 400xg 10 min 2 2,000xg 3 min	1
LP-PRP	10	3.8% sodium citrate, 1 ml	1	800xg 10 min	0.5
Pure-PRP	10	3.8% sodium citrate, 1 ml	1	800xg 10 min	0.5

7 Table 2

## 8 CBC data of the whole blood, LR-PRP, LP-PRP, pure-PRP, and PPP

	Platelet (X10 <sup>3</sup> /µl)	Leukocyte (X10 <sup>3</sup> /µl)	Neutrophil (X10 <sup>3</sup> /µl)	Lymphocyte (X10 <sup>3</sup> /µl)	Monocyte (X10 <sup>3</sup> /µl)	Erythrocyte (X10 <sup>°</sup> /μl)
Whole blood	206.8±27.1	5.7±0.9	3.7±0.6	1.6±0.4	0.4±0.1	4.7±0.7
LR-PRP	846.5±431.8	14.9±4.5	5.4±3.0	8.1±1.9	1.4±0.6	3.2±1.2
LP-PRP	777.3±253.6	2.4±1.3	0.0±0.0	2.3±0.3	0.1±0.0	0.0±0.0
Pure-PRP	469.2±157.3	0.2±0.2	_	_	_	0.0±0.0
РРР	8.0±5.0	0.1±0.0	_	_	-	0.0±0.0

10 Data are presented as the mean  $\pm$  SD.

## 13 Table 3

## 14 Correlations between the platelet/leukocyte concentration and each growth factor/protease

### 15 concentration

	vs platelet		vs leukocyte		
	r	p-value	r	p-value	
TGF-β1	0.58*	0.004	-0.20	0.36	
FGF-b	0.90*	<0.001	-0.44*	0.036	
PDGF-BB	0.87*	<0.001	0.56*	0.006	
VEGF	0.62*	<0.001	0.65*	0.001	
MMP-9	0.33	0.125	0.81*	<0.001	

16

17 Partial correlation coefficient (vs platelet: adjusted for the leukocyte concentration; vs leukocyte:

18 adjusted for the platelet concentration).

19

# Supplemental figure



PDGF-BB

### 1 <u>Supplemental Figure Legends</u>

2

### **3** Effects of different PRP activation methods on the growth factor concentration.

One of the pure-PRP sample used in this study was activated by three different methods: a single freeze-thaw cycle, application of CaCl<sub>2</sub> 11.1mg/ml (Sigma-Aldrich, St. Louis, MO, USA), and autologous thrombin (added to PRP at a ratio of 1:10). No activation sample was used as a negative control. PDGF-BB concentrations were measured using a Bio-Rad Multiplex analysis kit. Data are presented as the mean  $\pm$  SD performed in triplicate. Comparisons of all pairs in each group were assessed using a one-way nonparametric analysis of variance (ANOVA) followed by the Tukey post-hoc test (\*p<0.05, \*\*p<0.01).