

1 **Title**  
2 Oxidative stress and heart rate variability in patients with vertigo  
3 **Short title**  
4 OS and HRV in patients with vertigo  
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21 **Key words:** vertigo, oxidative stress, autonomic nervous activity, heart rate  
22 variability  
23

1 **Abstract**

2 **【Aims】** Peripheral vertigo has been reported to result from oxidative stress (OS) or  
3 autonomic nervous dysfunction. Recently, heart rate variability (HRV) has been used to  
4 evaluate autonomic nervous activity (ANA). Parasympathetic nervous dysfunction is  
5 associated with peripheral vertigo; however, the relationships among vertigo, OS, and  
6 ANA have not been investigated. The aim of this study was to elucidate the changes in  
7 OS and ANA in vertigo patients compared with healthy volunteers (HVs). **【Methods】**  
8 OS was assessed by evaluating biological antioxidant potential (BAP) and reactive  
9 oxygen metabolites (dROM), and HRV was measured to evaluate ANA. Thirty-four  
10 patients who complained of peripheral vertigo and were treated in our emergency  
11 department between January and August 2011 were enrolled in study 1. OS and HRV  
12 were measured and compared with those of HVs (N = 23). In study 2, OS in 18 vertigo  
13 patients and HRV in 41 patients were measured between January and August 2012  
14 before and after the conventional treatment of vertigo to evaluate the effect of the  
15 treatment on OS and ANA. **【Results】**dROM were higher in vertigo patients than in HVs.  
16 On the other hand, parasympathetic nervous activity was lower and the  
17 sympathetic/parasympathetic nervous activity ratio (ANA ratio) was higher in vertigo  
18 patients than in HVs. After the treatment of vertigo, dROM decreased significantly and  
19 the ANA ratio became much similar to that observed in HVs. **【Conclusions】** Bedside  
20 monitoring of OS and HRV may be useful for the diagnosis of vertigo and evaluation of  
21 the effect of treatment.

22

23

## 1 **Background**

2 Patients who complain of vertigo are often transported to an emergency  
3 department (ED). Multiple factors induce vertigo, because the sense of balance requires  
4 proper functioning of multiple body parts including the inner ears, eyes, muscles,  
5 skeleton, and nervous system. In the United States, the common causes of vertigo have  
6 been reported as aural (32.9%), cardiological (21.1%), neurological (11.2%),  
7 cerebrovascular (4%), and others (34.8%) including injury, psychiatric disease, or  
8 infectious disease<sup>1</sup>.

9 The pathophysiological mechanism of vertigo has been studied and  
10 physiological stress appears to play an important role. House et al. reported that  
11 Meniere's disease was not caused by psychological disorders but by biological stress;  
12 patients with Meniere's disease are in stressful situations<sup>2</sup>. Most of the previous studies  
13 evaluating physiological stress used patient interviews because physiological stress was  
14 difficult to measure quantitatively. Recently, methods for quantitative evaluation of  
15 physiological stress have become available in the clinical setting. In particular,  
16 oxidative stress (OS) and autonomic nervous activity (ANA) are major targets for  
17 clinical evaluations of physiological stress. For example, protein carbonyl (PC) levels  
18 were previously used as an indicator of protein oxidation. It was revealed that PC was  
19 higher in patients with Meniere's disease than in controls<sup>3</sup>. These findings suggested  
20 that antioxidant therapy may be useful for patients with Meniere's disease<sup>4,5</sup>. It has been  
21 reported that ANA could be evaluated by assessing heart rate variability (HRV)<sup>6</sup>. HRV  
22 can reflect the dynamic interplay between ongoing perturbations in circulatory function  
23 and the compensatory responses of short-term cardiovascular control systems. Analysis  
24 of HRV<sup>7-9</sup> includes low-frequency (LF) fluctuations, which reflect both parasympathetic

1 and sympathetic activity, and high-frequency (HF) fluctuations, which reflect  
2 parasympathetic activity. These findings have been used for the evaluation of ANA in  
3 vertigo patients. Although some reports have described the relationship between vertigo  
4 and physiological stress<sup>2-4</sup>, to the best of our knowledge, no study has examined OS and  
5 ANA in vertigo patients. In particular, changes in physiological stress have not been  
6 compared before and after the treatment of vertigo. In this study, OS and HRV were  
7 evaluated in vertigo patients compared with healthy volunteers (HVs) and the effect of  
8 treatment was evaluated.

## 11 **Patients and Methods**

### 12 *Overall protocol*

13 This study was approved by the Institutional Review Board of Juntendo  
14 University (approval number 23-32) and informed consent was obtained from each  
15 patient or a close relative. Subjects were recruited from patients transferred by  
16 ambulance to the ED of Juntendo University Urayasu Hospital. The exclusion criteria  
17 included age of <15 years, vertigo due to central nervous system disease, or other  
18 injuries.

### 20 *Measurements*

21 For each patient, whole blood samples (10 mL) were collected from a  
22 peripheral vein into heparin-coated tubes within 30 min of arrival to the ED to assess  
23 OS by measuring biological antioxidant potential (BAP) and reactive oxygen  
24 metabolites (dROM). Blood samples were centrifuged for 5 min at 12000 rpm, and the

1 collected serum samples were divided into to 2 tubes of at least 2 mL each; these  
2 samples were rapidly frozen at  $-80^{\circ}\text{C}$ . These samples were defrosted within 72 h and  
3 BAP and dROM were measured using Free Radical Analytical System 4 (FRAS4<sup>TM</sup>,  
4 Health & Diagnostics Limited Co., Parma, Italy). BAP reflected the blood level of  
5 antioxidant substances. The BAP test uses a colored solution containing ferric ( $\text{Fe}^{3+}$ )  
6 ions bound to a special chromogenic substrate that changes color when the  $\text{Fe}^{3+}$  ions are  
7 reduced to ferrous ions ( $\text{Fe}^{2+}$ ). Then, 10  $\mu\text{L}$  of the serum sample was added to the  
8 cuvette. After incubating for 5 min at  $37^{\circ}\text{C}$ , absorbance at 505 nm was recorded. The  
9 dROM test reflected the blood level of reactive oxygen metabolites, particularly that of  
10 hydroperoxides, which are markers and amplifiers of free radical-induced oxidative  
11 damage. In this test, the ROM level is proportional to the intensity of red coloration. In  
12 brief, 20  $\mu\text{L}$  of blood and 1 mL of buffered solution were mixed in a cuvette, and 10  $\mu\text{L}$   
13 of the chromogenic substrate was added to the cuvette. After mixing and centrifugation  
14 for 60 s, the cuvette was incubated in a thermostatic block for 5 min at  $37^{\circ}\text{C}$ . Thereafter,  
15 absorbance at 505 nm was recorded. The results were expressed as U.CARR.

16 HRV was assessed using a sphygmograph (TAS9<sup>TM</sup> Pulse Analyzer Plus, YKC  
17 Corporation, Tokyo, Japan) attached to the left forefinger while the patients lay silently  
18 on a bed in the supine position with their eyes closed. It was recorded for 2.5 min and  
19 the frequency domain information was analyzed automatically with a fast Fourier  
20 Transformation. The technical details of HRV analysis have been presented in detail  
21 previously<sup>8-10</sup>. In brief, the power spectral components of the R–R interval between  
22 0.04–0.15 Hz were considered LF components and those between 0.15–0.40 Hz were  
23 considered HF components. The heart rate data was sampled immediately after each  
24 heart beat and was transferred to a personal computer and analyzed with supplied

1 software. The heart rate values were averaged, and the LF and HF power values were  
2 calculated by integrating each frequency band every 2.5 min; these measurements were  
3 then subjected to further analysis<sup>10</sup>. Patients with arrhythmias were excluded from HRV  
4 analysis, because HRV could not be measured correctly with an irregular heart rhythm.

5

### 6 ***Study protocol and evaluation***

7 Using these OS (BAP, dROM) and HRV measurements, we established 2 study  
8 protocols. In the first study (study 1), OS and HRV were compared between vertigo  
9 patients treated at our ED between January to August 2011 and HVs (N = 23). In the  
10 second study (study 2), OS and HRV in vertigo patients were compared before and after  
11 the conventional treatment of vertigo. This treatment included a 2-h infusion of Sordem  
12 3A<sup>TM</sup> (200 mL, Ohtsuka, Tokyo, Japan) mixed with adenosine triphosphate (ATP)  
13 disodium hydrate (40 mg) and 8.4% sodium bicarbonate (20 mL). If the patient  
14 complained of nausea, 10 mg of metoclopramide was injected through the intravenous  
15 line. Whole blood samples (10 mL) were collected immediately after visiting the ED for  
16 the “before treatment” data and then collected immediately after the 2-h infusion for the  
17 “after treatment” data. Patients included in the second study were treated in our ED  
18 between January and August 2012.

19

### 20 ***Statistics***

21 Data are expressed as mean  $\pm$  standard deviation (SD). Welch’s t-test was used  
22 for comparisons of groups in study 1, and the paired t-test was used in study 2.  
23 Statistical analyses were performed using GraphPad Prism 5 (GraphPad Software, La  
24 Jolla, CA, USA). *P* values of <0.05 were considered statistically significant.

1

2

### 3 **Results**

#### 4 *Patient background*

5           The patients selected for studies 1 and 2 are shown in Figure 1. In study 1, BAP  
6 and dROM were measured in 34 patients (age:  $64 \pm 15$  years, 12 males and 22 females),  
7 and HRV in 24 patients (age:  $56 \pm 17$  years, 9 males and 15 females). Twenty-three HVs  
8 (age:  $36 \pm 11$  years, 15 males and 8 females) were included in this study as a control  
9 group. Ten patients were excluded because of arrhythmia. In study 2, HRV was  
10 measured in 41 patients (age:  $59 \pm 14$  years, 15 males and 26 females) before and after  
11 the treatment of vertigo, whereas BAP and dROM were evaluated in 18 patients (age:  
12  $65 \pm 15$  years, 6 males and 12 females). Twenty-three patients were excluded because  
13 they did not give consent (Fig. 1).

14

#### 15 *Oxidative stress in vertigo patients*

16           We measured BAP, dROM, and the BAP/dROM ratio in vertigo patients  
17 compared with HVs (Fig. 2). The BAP/dROM ratio was evaluated to investigate the  
18 balance of OS. dROM were significantly higher in vertigo patients than in HVs (HV:  
19  $295 \pm 51$  U.CARR, Pt:  $337 \pm 60$  U.CARR, Fig. 2B,  $p < 0.01$ ). There was no significant  
20 difference in BAP (HV:  $2183 \pm 207$   $\mu$ M, Pt:  $2207 \pm 429$   $\mu$ M, Fig. 2A) or the  
21 BAP/dROM ratio (HV:  $7.74 \pm 2.15$ , Pt:  $6.79 \pm 1.91$ , Fig. 2C). These results indicate  
22 that superoxide and oxygen metabolites were higher in vertigo patients than in HVs.

23

#### 24 *Autonomic nervous activity in vertigo patients*

1 Sympathetic nervous activity (LF/HF), parasympathetic nervous activity (HF),  
2 and the sympathetic/parasympathetic nervous activity ratio, which is reflective of ANA  
3 balance ( $LF/HF^2$ , defined as the ANA ratio), were assessed (Fig. 3). All data were  
4 logarithm transformed and compared with the values of HVs. There was no significant  
5 difference in sympathetic nervous activity between HVs and vertigo patients (HVs:  $1.02$   
6  $\pm 0.23$ , Pt:  $1.14 \pm 0.30$ , Fig. 3A). However, parasympathetic nervous activity in vertigo  
7 patients was significantly suppressed (HVs:  $5.27 \pm 1.00$ , Pt:  $4.13 \pm 2.34$ , Fig. 3B,  $p <$   
8  $0.05$ ) and the ANA ratio was significantly elevated compared with HVs (HVs:  $0.20 \pm$   
9  $0.08$ , Pt:  $0.47 \pm 0.51$ , Fig. 3C,  $p < 0.05$ ). These results suggest that ANA balance was  
10 disturbed in vertigo patients.

11

### 12 *Effect of treatment on oxidative stress in vertigo patients*

13 The changes in BAP, dROM, and the BAP/dROM ratio before and after the 2-h  
14 treatment of vertigo are shown in Figure 4. dROM were significantly reduced after  
15 treatment (before:  $349 \pm 60$  U.CARR, after:  $331 \pm 60$  U.CARR, Fig. 4B,  $p < 0.01$ ).  
16 However, no significant difference was observed in BAP (before:  $1985 \pm 325$   $\mu$ M, after:  
17  $1941 \pm 278$   $\mu$ M, Fig. 4A) or the BAP/dROM ratio (before:  $5.88 \pm 1.47$ , after:  $6.07 \pm$   
18  $1.44$ , Fig. 4C). The symptoms improved in 16 patients after treatment, and 2 patients  
19 were admitted to our hospital for observation.

20

### 21 *Effect of treatment on autonomic nervous activity in vertigo patients*

22 Figure 5 shows ANA, expressed as HRV, in 41 vertigo patients before and  
23 after treatment. There was no change in sympathetic nervous activity (before:  $1.21 \pm$   
24  $0.42$ , after:  $1.14 \pm 0.32$ , Fig. 5A) or parasympathetic nervous activity (before,  $4.08 \pm$



1 1.96, after:  $4.07 \pm 1.11$ , Fig. 5B) after treatment. The ANA ratio after treatment had a  
2 tendency to be similar to that in HVs (before,  $0.51 \pm 0.66$ , after:  $0.32 \pm 0.20$ , HVs:  $0.20$   
3  $\pm 0.08$ , Fig. 5C,  $p = 0.06$ ). Although there was no statistical difference in the ANA ratio  
4 before and after treatment, ANA imbalance may be attenuated by the treatment.

## 7 **Discussion**

8 In our study, we quantitatively evaluated physiological stress in vertigo patients  
9 by measuring OS and ANA. As an OS biomarker, dROM were significantly higher in  
10 vertigo patients than in HVs (Fig. 2B). Parasympathetic nervous activity, as quantified  
11 by HF of HRV, was significantly suppressed in vertigo patients compared with HVs (Fig.  
12 3B).

13 Similar to our findings, some studies have reported an elevation of OS in  
14 vertigo patients<sup>3,4,11</sup>. The production of dROM results from several mechanisms,  
15 including oxidative phosphorylation in the mitochondria as a product of normal cellular  
16 aerobic metabolism<sup>12,13</sup>. Thus, dROM can be produced by the major process from which  
17 the body derives energy<sup>13</sup>. The balance between dROM production and activation of the  
18 antioxidant defense system is crucial in human physiology and the control of cellular  
19 homeostasis<sup>14</sup>. While dROM play an important role in signaling processes, their  
20 overproduction generates OS. dROM can regulate cellular functions during immune and  
21 inflammatory processes<sup>15</sup>, which cause the overproduction of OS. Therefore, it is  
22 difficult to determine the source of production of dROM. It is possible that OS promotes  
23 vasculitis of the vertebrae and endolymphatic hydrops in vertigo patients<sup>3,4</sup>.  
24 Measurement of OS could evaluate not only the severity of vertigo but also the cause of

1 vertigo.

2 Previous studies<sup>7,8,11,16</sup> have reported significant parasympathetic nervous  
3 hypofunction in vertigo patients, which is similar to the findings of our study (Fig. 3B).  
4 It was considered that the suppression of parasympathetic activity and the relative  
5 hyperfunction of sympathetic activity in vertigo patients influenced the vertebrobasilar  
6 arterial system. These pathophysiological mechanisms may produce laterality of  
7 peripheral vestibular function, thus resulting in vertigo<sup>9</sup>. Therefore, one possible  
8 mechanism of vertigo is change in blood flow and pressure in the vertebrobasilar artery  
9 and cochleovestibular organs.

10 Our research also evaluated the effect of the treatment of vertigo on biological  
11 stress. Conventionally, 8.4% sodium bicarbonate and ATP disodium hydrate have been  
12 used for the treatment of vertigo. It is believed that sodium bicarbonate improves  
13 vertigo by acting on the central and peripheral vestibular system and correcting  
14 acidosis<sup>17</sup>, while ATP disodium hydrate improves vertigo by increasing cerebral blood  
15 flow and cerebrovascular extension<sup>18</sup>. After treatment, dROM decreased significantly  
16 (Fig. 4B) and ANA balance was also attenuated (Fig. 5C). Possibly, adding antioxidants  
17 to our medication protocol would enhance the effect of the conventional treatment. Our  
18 management of these patients now includes bedside monitoring of HRV and  
19 measurement of oxidative activity, which is very useful and can be measured repeatedly.

20 Our study has some limitations. First, patients with arrhythmia were excluded  
21 because accurate HRV analysis could not be performed in these patients. However,  
22 some patients complaining of vertigo have synchronizing paroxysmal arrhythmia.  
23 Second, the age and sex of HVs and vertigo patients were different. Vertigo patients  
24 were older and included a higher number of females. This background difference could

1 have induced a bias. Further studies are necessary using age- and sex-matched HVs.

2

3

#### 4 **Conclusion**

5 We quantitatively evaluated physiological stress in vertigo patients using OS  
6 and HRV. We found that OS was significantly higher and parasympathetic activity was  
7 significantly suppressed in vertigo patients. After the conventional treatment of vertigo,  
8 dROM was reduced and ANA balance was improved. Bedside monitoring of OS and  
9 HRV may be useful for the diagnosis of vertigo and evaluation of the effect of  
10 treatment.

11

12

#### 13 **Conflict of Interest**

14 There is no conflict of interest that should be disclosed.

15

16

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- 18  
19

1 **Figure Legends**

2 **Figure 1. Patient selection in study 1 and study 2.**

3 A: In study 1, BAP, dROM (N = 34), and HRV (N = 24) were compared between  
4 vertigo patients and HVs (N = 23).

5 B: In study 2, BAP, dROM (N = 18), and HRV (N = 41) were measured in vertigo  
6 patients before and after treatment.

7

8 **Figure 2. Comparison of oxidative stress in vertigo patients and healthy volunteers.**

9 A: BAP, B: dROM, and C: BAP/dROM ratio. Open circles show HVs (N = 23), and  
10 closed circles show vertigo patients (N = 34).  $**p < 0.01$ , Welch's t-test.

11

12 **Figure 3. Comparison of autonomic nervous activity in vertigo patients and**  
13 **healthy volunteers.**

14 A: Sympathetic nervous activity, B: Parasympathetic nervous activity, and C: ANA ratio  
15 expressed as the sympathetic/parasympathetic nervous activity ratio. Open circles show  
16 HVs (N = 23), closed circles show vertigo patients (N = 24).  $*p < 0.05$ , Welch's t-test.

17

18 **Figure 4. Effect of the treatment of vertigo on oxidative stress.**

19 A: BAP, B: dROM, and C: BAP/dROM ratio before (left) and after (right) treatment in  
20 vertigo patients (N = 18). Gray area indicates mean  $\pm$  SD of HVs (N = 23).  $**p < 0.01$ ,  
21 paired t-test.

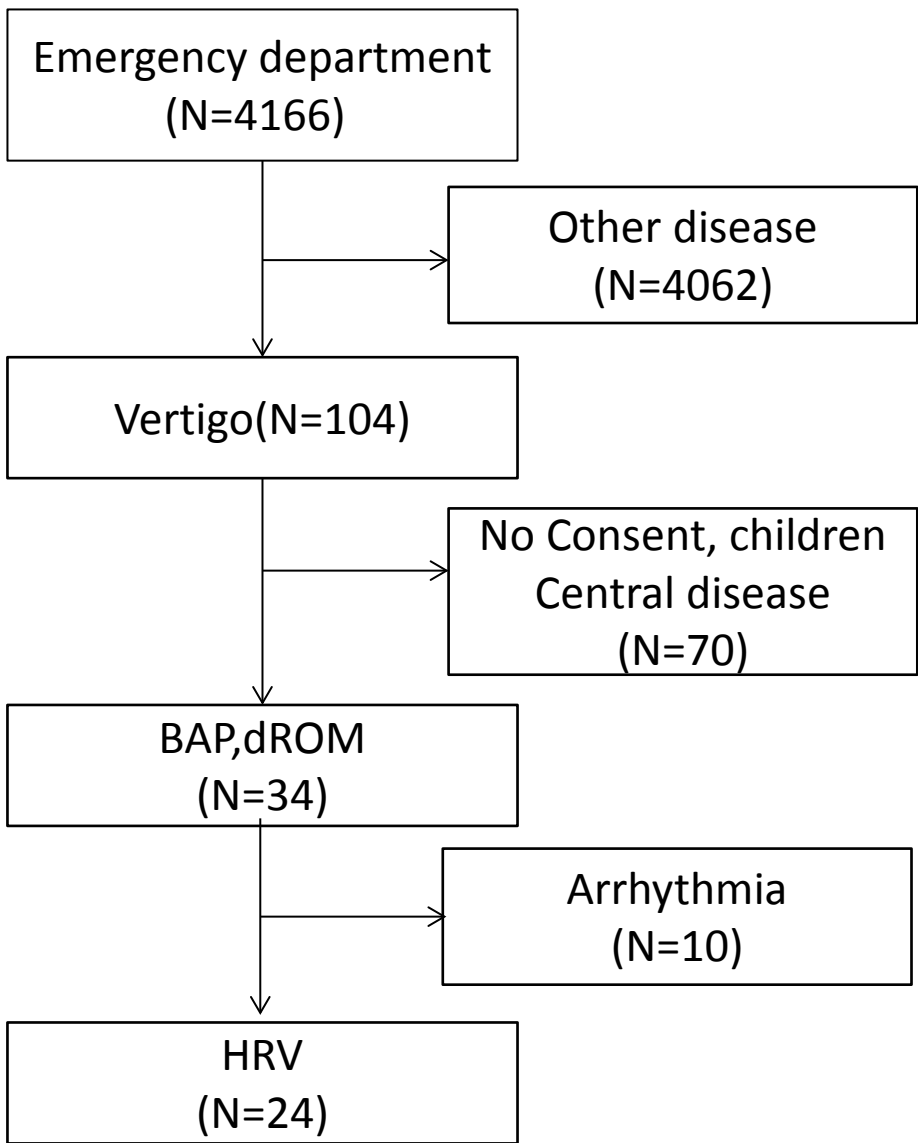
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23 **Figure 5. Effect of the treatment of vertigo on autonomic nervous activity.**

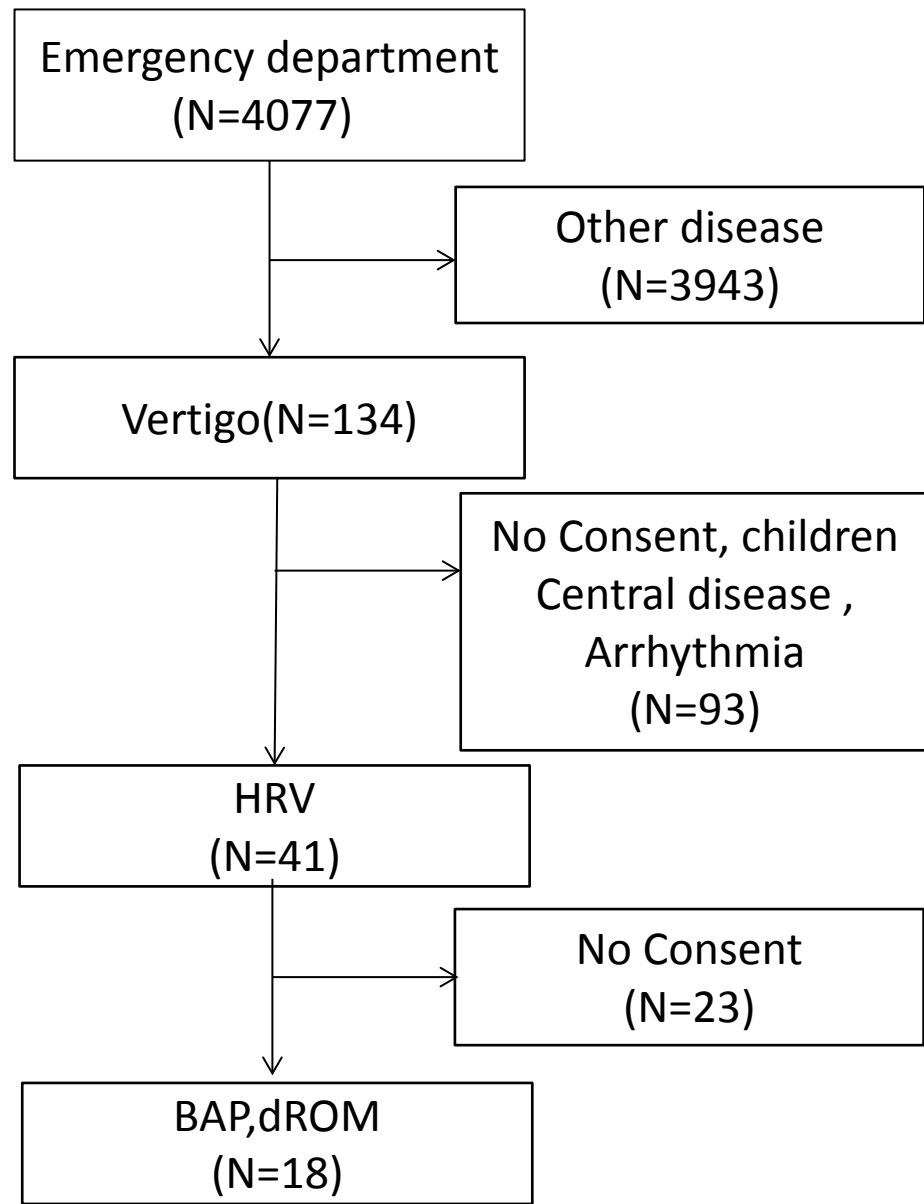
24 A: Sympathetic nervous activity, B: parasympathetic nervous activity, and C: ANA ratio

- 1 expressed as the sympathetic/parasympathetic nervous activity ratio in vertigo patients
- 2 (N = 41) before (left) and after (right) treatment. Gray zone indicates the mean  $\pm$  SD of
- 3 HVs (N = 23).
- 4

# Study1



# Study2



**Figure. 1**



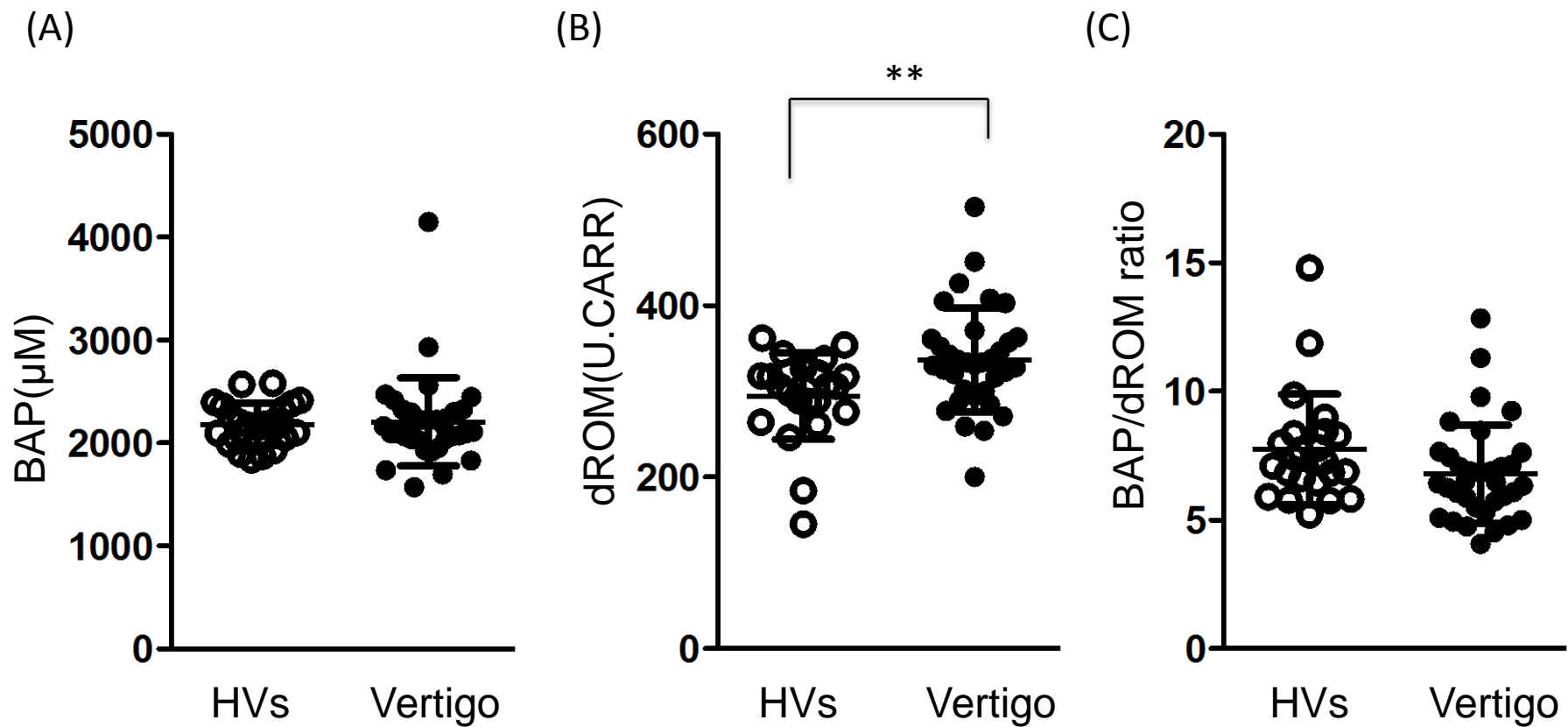


Figure. 2

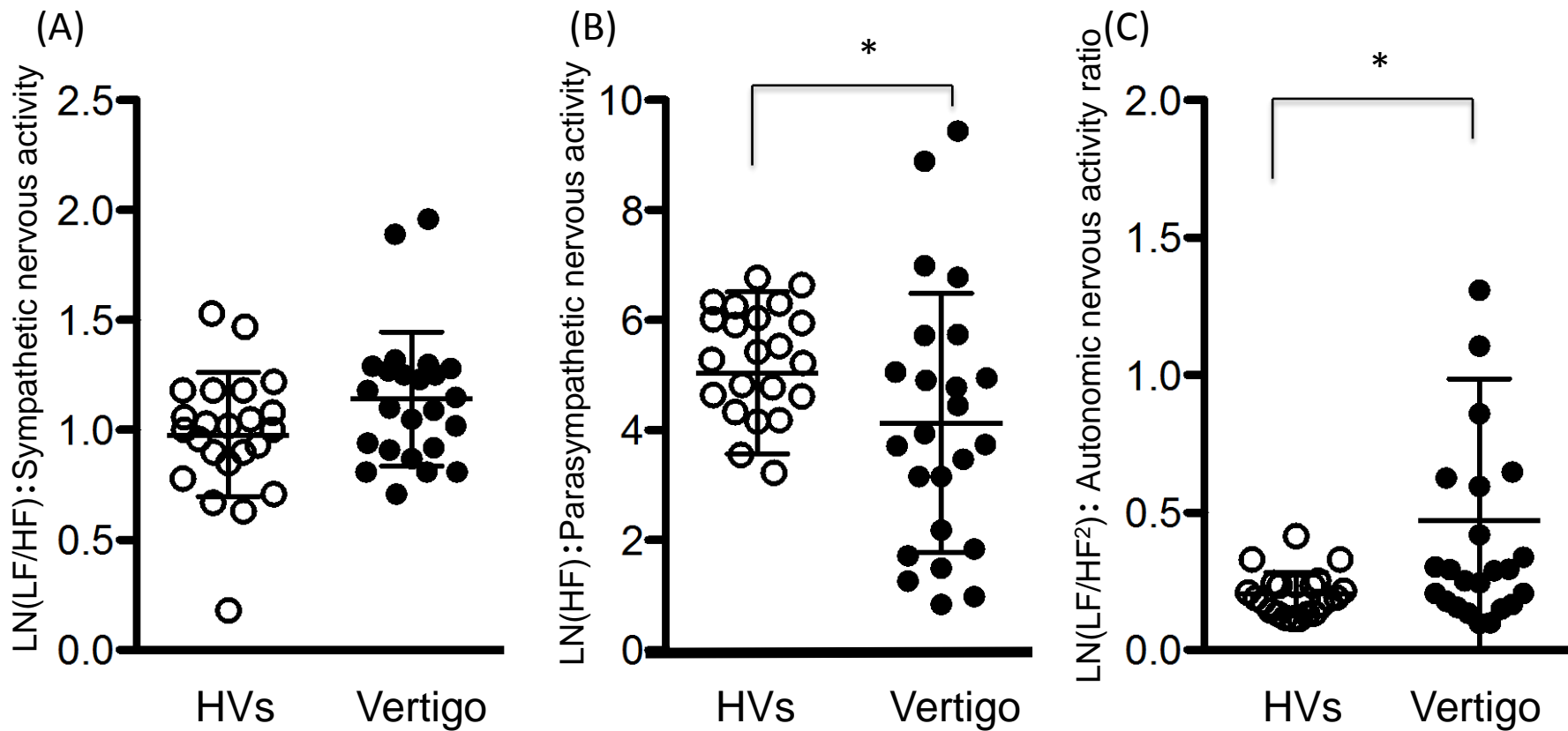
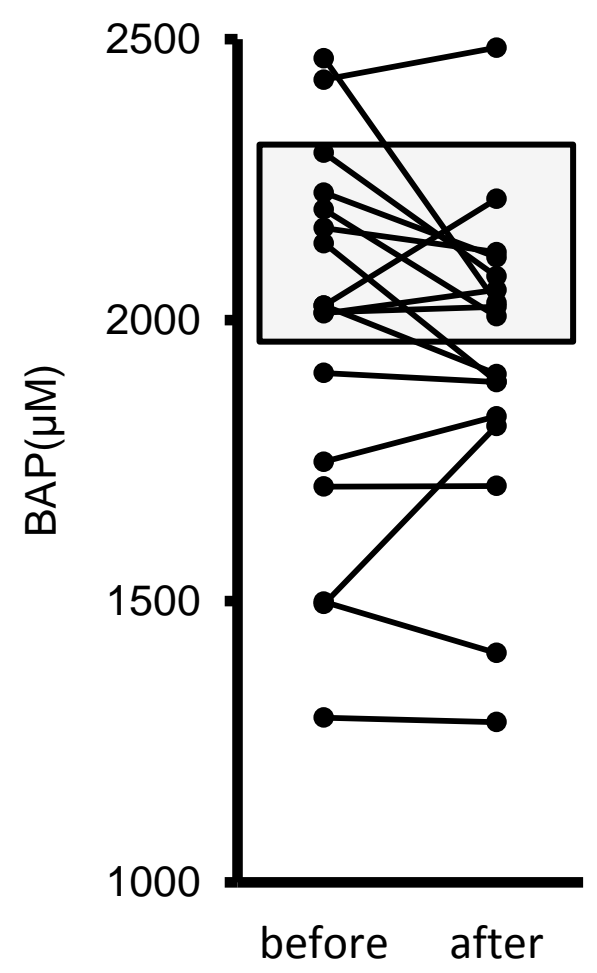
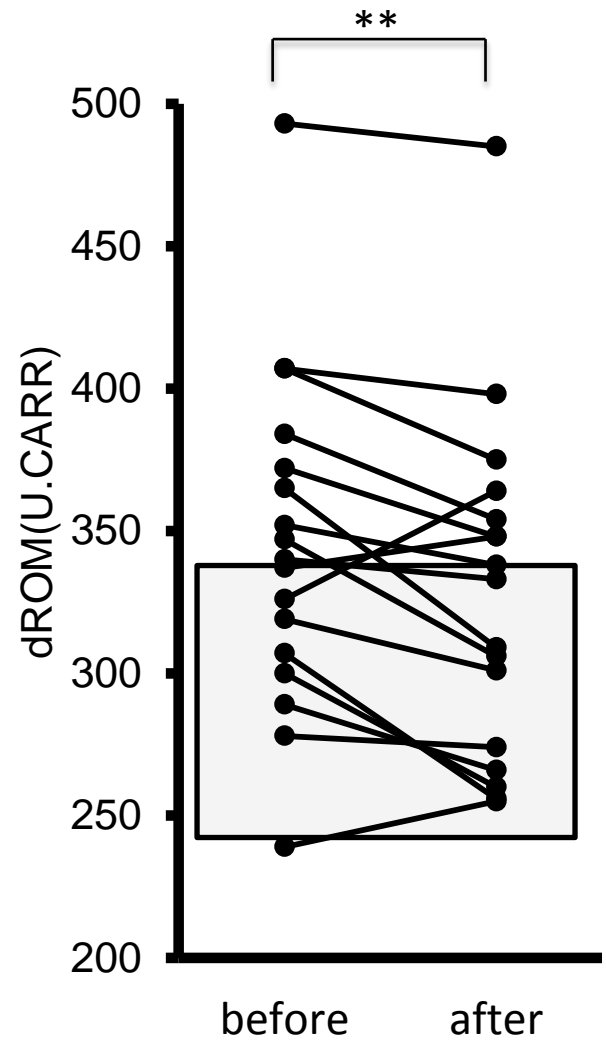


Figure. 3

(A)



(B)



(C)

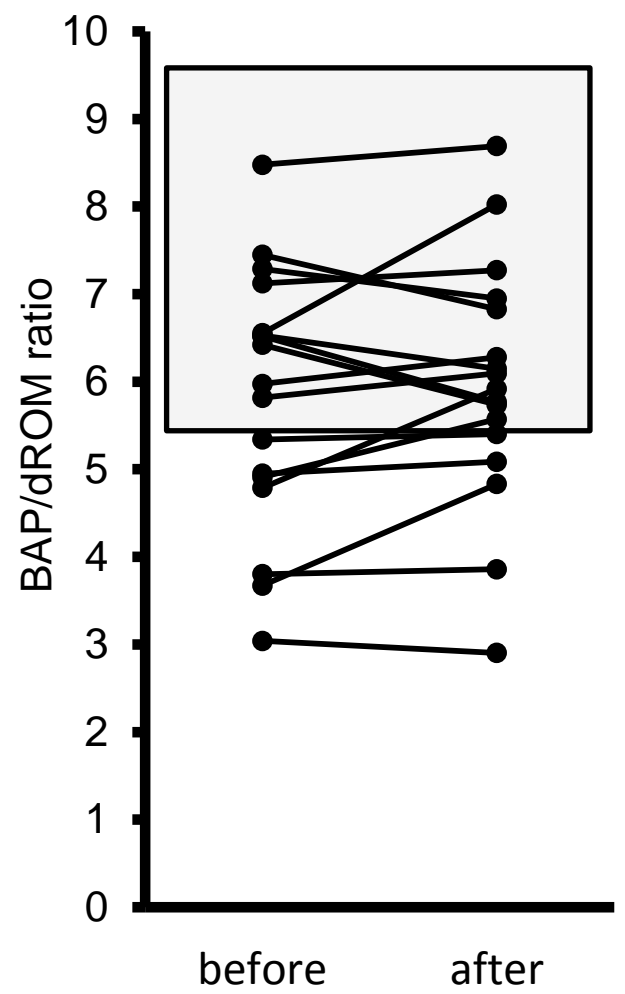


Figure. 4

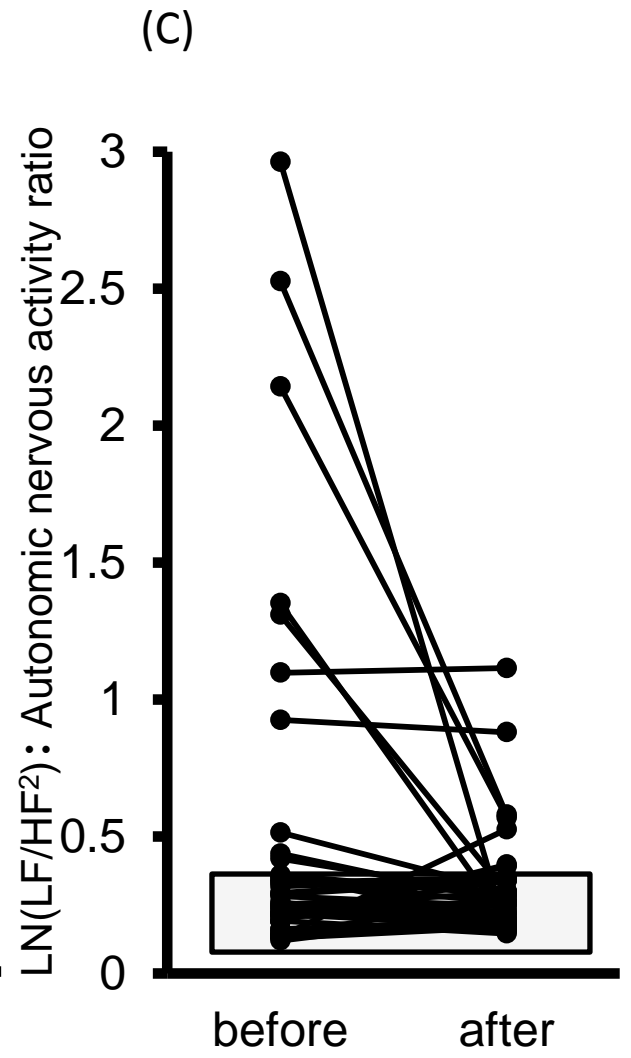
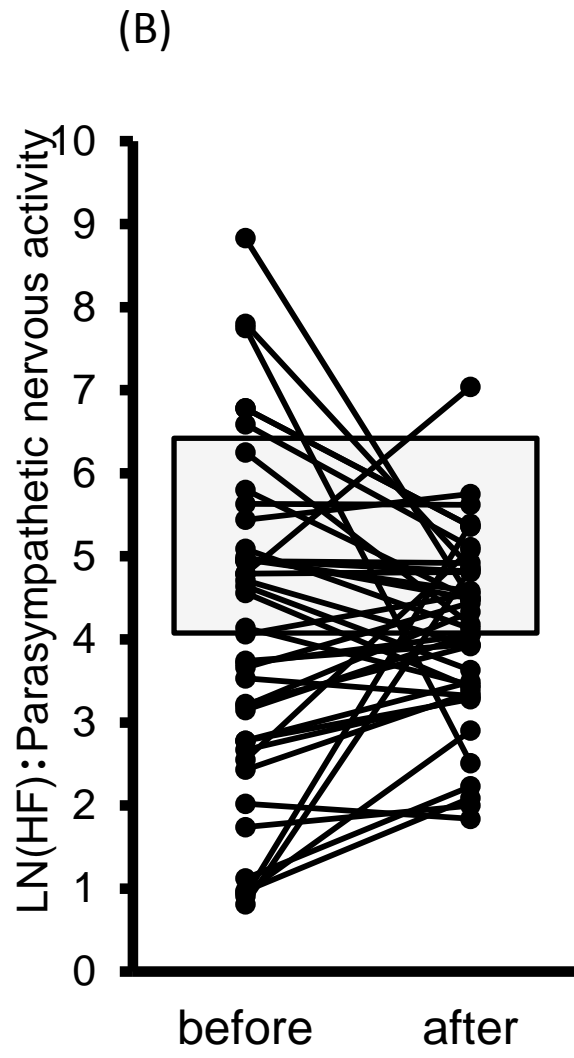
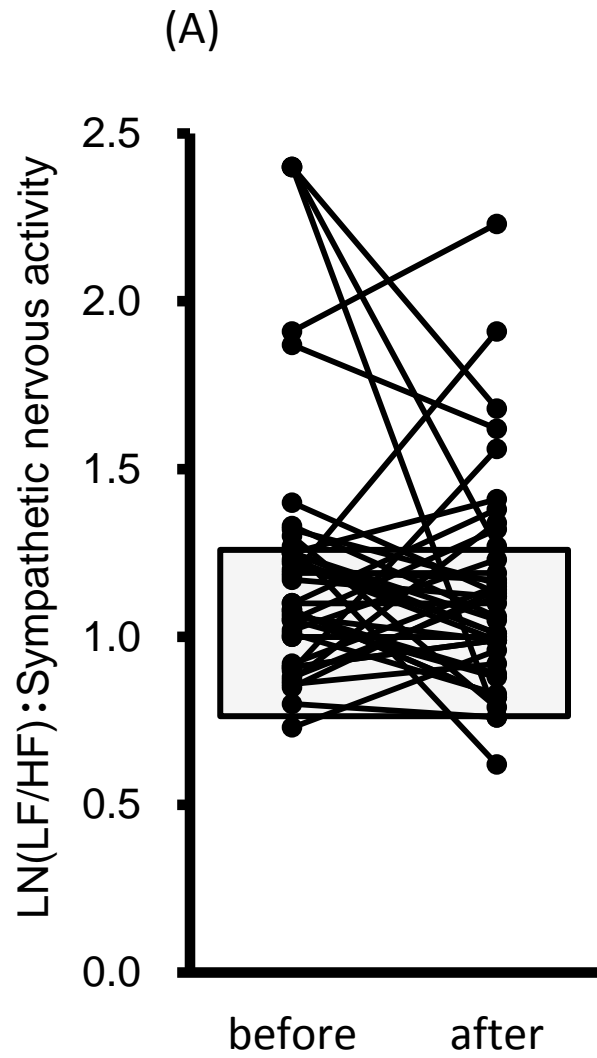


Figure. 5